PTO/SB/05 (03-01)

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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY

## PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.:		1943.ALC				
First Ir	ventor:	Rodrig	jues et al.			
Title:	Hydropi In Surfa	nobically ce Prote	y Modified Solutecting Formulation	ion Polymers Ar	d Their	Use
Expres	s Mail La	bel No.:	EL56939386	US		

APPLICATION ELEMENTS	ADDRESS TO: Commissioner for Patents	
See MPEP chapter 600 concerning utility patent application contents	Box Patent Application	
	Washington, DC 20231	
1. See Fee Transmittal Form (e.g., PTO/SB/17)]	7.   CD-ROM or CD-R in duplicate, large table or	
(Submit an original, and a duplicate for fee processing)	Computer Program (Appendix)	
2.   Applicant claims small entity status.	8. Nucleotide and/or Amino Acid Sequence Submission	
See 37 CFR 1.27.	(if applicable, all necessary)	
3. Specification [Total Pages 32]	a.   Computer Readable Form (CRF)	
(preferred arrangement set forth below)		
- Descriptive title of the Invention	b. Specification Sequence Listing on:	
- Cross Reference to Related Applications	i. ☐ CD-ROM or CD-R (2 copies); or	
<ul> <li>Statement Regarding Fed sponsored R &amp; D</li> <li>Reference to sequence listing, a table,</li> </ul>	ii. 🗆 paper	
or computer program listing appendix	c.   Statements verifying identity of above copies	
- Background of the Invention	c Otalements vernying identity of above copies	
- Brief Summary of the Invention	/ACCOMPANYING APPLICATION PARTS	
- Brief Description of the Drawings (if filed)	9. Massignment Papers (cover sheet & document(s))	
- Detailed Description	10. □ 37 CFR 3.73(b) Statement □ Power of Attorney	
- Claim(s)	(when there is an assignee)	
- Abstract of the Disclosure	11. ☐ English Translation Document (if applicable)	
4. ☐ Drawing(s) (35 USC 113) [Total Sheets]	12. M Information Disclosure 🛮 Copies of IDS	
5. M Oath or Declaration [Total Pages 2 ]	Statement (IDS)/PTO-1449 Citations	
<ul> <li>a. Mewly executed (original or copy)</li> </ul>	13. ☐ Preliminary Amendment	
b.  Copy from a prior application (37 CFR 1.63(d))	14. Return Receipt Postcard (MPEP 503)	
(for continuation/divisional with Box 18 completed)	(Should be specifically itemized)	
i.   DELETION OF INVENTOR(S)	15. ☐ Certified Copy of Priority Document(s)	
Signed statement attached deleting	(if foreign priority is claimed)	
inventor(s) named in the prior application,	16. ☐ Nonpublication Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form	
see 37 CFR 1.63(d)(2) and 1.33(b).	PTO/SB/35 or its equivalent.	
6. Application Data Sheet. See 37 CFR 1.76	17.  Other	
18. If a CONTINUING APPLICATION, check appropriate box, a	nd supply the requisite information below and in a	
Preliminary Amendment, or in an Application Data Sheet		
	t (CIP) of prior application No/_	
Prior application information: Examiner	Group/Art Unit:	
For CONTINUATION or DIVISIONAL APPS only: The entire		
Declaration is supplied under Box 5b, is considered a part		
Divisional application and is hereby incorporated by reference	. The incorporation can only be relied upon when a portion	
has been inadvertently omitted from the submitted application		
19. CORRESPOND	· · · · · · · · · · · · · · · · · · ·	
☐ Customer Number or Bar Code Label	or 区 Correspondence address below	
(Insert Customer No. or A	Attach bar code label here)	
	<b>I</b>	
	<b>I</b>	

Name	I	Thomas F. Roland				
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City	E	Bridgewater State		New Jersey	Zip Code	08807-0500
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Name (Print/Type   Thomas F. Roland		Registration No. (Attorney/Agent) 42,110		42,110		
Signature		Thou I	RLA	Date	•	August 1, 2001

PTC/S8/17 (11-00)

Approved for use through 10/31/2002, OMB 3651-0032
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## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(S) 830.00	<b>(S)</b>	830	.0	(
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	mplete if Клоwп	
Application Number		
Filing Date		
First Named Inventor	Rodrigues	
Examiner Name		-
Group Art Unit		
Attorney Docket No	1943.AIC	

METHOD OF PAYMENT	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES			
Deposit 74 0455	Large Small Entity Entity			
Account Number 14-0455	Fee Fee Fee Fee Fee Description Fee Paid			
Deposit Account	Code (3) Code (3)	7		
Name		1		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filling fee or cover sheet	-		
Aoolicant claims small entity status. See 37 CFR 1.27	139 130 139 130 Non-English specification	-		
See 37 CFR 1.27  2. Payment Enclosed:	147 2,520 147 2,520 For filling a request for ex parte reexamination			
Check Credit card Corder Cther	112 920° 112 920° Requesting publication of SIR prior to Examiner action			
FEE CALCULATION  1. BASIC FILING FEE	113 1,340" 113 1,340" Requesting publication of SIR after Examiner action	-		
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	-		
Large Entity Small Entity	116 390 216 195 Extension for reply within second month	41		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 990 217 445 Extension for reply within third month	4 /		
101 710 201 355 Utility filling fee (770 00)	118 1,390 218 695 Extension for reply within fourth month	41		
106 320 206 160 Design filling fee	128 1,390 228 945 Extension for reply within fifth month			
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal	<u> </u>		
108 710 208 355 Reissue filing fee	120 310 220 155 Filling a brief in support of an appeal	]		
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing	]		
SUBTOTAL (1) (S) 710.00	138 1,510 138 1,510 Petition to institute a public use proceeding	11		
2. EXTRA CLAIM FEES	140 110 240 55 Petition to revive - unavoidable	┦		
2. EXTRA CLAIM FEES Fee from	141 1,240 241 620 Petition to revive - unintentional			
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)	]		
Independent A 3" - 1 × 30 00 80 00	143 440 243 220 Design issue fee	-		
Claims 4 - 3" = 1 x 80.00 = 80.00	144 600 244 300 Plantissue fee	1		
	122 130 122 130 Petitions to the Commissioner	-		
Large Entity Small Entity	123 50 123 50 Processing fee under 37 CFR 1,17(q)	-		
Fee Fee Fee Fee Description	125 130 126 180 Submission of Information Disclosure Stmt	!		
Code (S) Code (S)  103 18 203 9 Claims in excess of 20	531 40 531 40 Recording each patent assignment per property (times number of properties)			
102 30 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))			
109 30 209 40 ** Reissue independent claims over original patent	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))			
110 13 210 9 "Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)			
and over original patent	169 900 169 900 Request for excedited examination of a design application			
SUBTOTAL (2) (5) 80.00	Other (see (specify)			
"or number previously paid, if greater; For Reissues, sae above	'Reduced by Basic Filing Fee Paid SUBTOTAL (3) (S) 40.00			

SUBMITTED BY			Complete (if applicable)
Name (PonuType)	Thomas F. Roland	Registration No. 42,110	Telephane 908-685-5127,
Signature	The IRL		Date August 1, 2001